Human Resources for Health Observer







Efficiency and

effectiveness of aid flows towards health workforce development:

exploratory study based on four case studies from Ethiopia, the Lao People's Democratic Republic, Liberia and Mozambique



WHO Library Cataloguing-in-Publication Data

Efficiency and effectiveness of aid flows towards health workforce development: exploratory study based on four case studies from Ethiopia, the Lao People's Democratic Republic, Liberia and Mozambique / by Mark Wheeler [...et al].

(Human Resources for Health Observer, 7)

1.Health personnel - organization and administration. 2.Health manpower - economics. 3.Financing, Health. 4.Development. 5.Case reports. 6.Ethiopia. 7.Lao People's Democratic Republic. 8.Liberia. 9.Mozambique. I.Wheeler, M. II.Gedik, F. Gülin. III.Dal Poz, Mario R. IV.World Health Organization. V.Series.

ISBN 978 92 4 150094 4

(NLM classification: W 76)

© World Health Organization 2011

All rights reserved. Publications of the World Health Organization can be obtained from WHO Press, World Health Organization, 20 Avenue Appia, 1211 Geneva 27, Switzerland (tel.: +41 22 791 3264; fax: +41 22 791 4857; e-mail: bookorders@who.int). Requests for permission to reproduce or translate WHO publications – whether for sale or for noncommercial distribution – should be addressed to WHO Press, at the above address (fax: +41 22 791 4806; e-mail: permissions@who.int).

The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of the World Health Organization concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers' products does not imply that they are endorsed or recommended by the World Health Organization in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by the World Health Organization to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall the World Health Organization be liable for damages arising from its use.

The named authors alone are responsible for the views expressed in this publication.

Design: Atelier-Rasmussen / Geneva Printed in France / January 2011

Efficiency and effectiveness of aid flows towards health workforce development:

exploratory study based on four case studies from Ethiopia, the Lao People's Democratic Republic, Liberia and Mozambique



Contents

Acronyms	iv
Acknowledgements	v
Executive summary	vi
Introduction	1
The changing aid relationship	1
The retreat from projects	1
The Paris Declaration and the Accra High Level Meeting	3
External aid for health	4
Implications for HRH in the aid effectiveness agenda	5
Aid flows for HRH development	6
Findings and issues from four country case studies	6
- Challenges	6
- Investment needs	7
- Financing	7
- Types of external support	8
- Predictability, volatility and sustainability of aid flows	9
- Does aid contribute to strengthening national capacity and long-term sustainability	
of HRH development?	10
Experiences from other countries	11
- Domestic financing of salary costs	11
- Critical role of donor support to salary costs	11
- Donor support to salary costs	12
- Donors undermining national efforts	13
Policy discussion	14
Bibliography	16

Acronyms

ART	Antiretroviral therapy
DAC	Development Co-operation Directorate of the OECD
DFID	Department for International Development, United Kingdom
GAVI	Global Alliance for Vaccines and Immunisation
GFATM	Global Fund to Fight AIDS, Tuberculosis and Malaria
HIV/AIDS	Human immunodeficiency virus/acquired immunodeficiency virus
HRH	Human resources for health
IHP+	International Health Partnership and Related Initiatives
MDGs	Millennium Development Goals
NGO	Nongovernmental organization
OECD	Organisation for Economic Co-operation and Development
PEPFAR	U.S. President's Emergency Plan for AIDS Relief
SWAp	Sector-wide approach
USAID	U.S. Agency for International Development
WHO	World Health Organization

Acknowledgements

This paper was written by Mario R. Dal Poz^a, Gulin Gedik^a and Mark Wheeler^b.

The study protocol was designed by Mario R. Dal Poz and Gulin Gedik. Jim Campell^c, Rebecca Dodd^d, Jennifer Nyoni^e, Paola Piva^f and Mark Wheeler contributed to the improvement of the study protocol.

The overall coordination of the case studies and the process were undertaken by Gulin Gedik and Mario R. Dal Poz.

Jennifer Nyoni coordinated the case studies in the WHO Regional Office for Africa. The case studies of Ethiopia and Liberia were facilitated by Eric Johnson from WHO Country Office in Liberia and Yohannes Kebede from WHO Country Office in Ethiopia. The Mozambique case study was undertaken by Mario R. Dal Poz and Gulin Gedik and benefited from existing studies and documents. Thanks are extended to Ms Perrine Bonvalet (intern)^a for her invaluable work and extensive review of documents as well as her contribution to drafting. Norbert Dreesch^a, Hilde De Graeve^g and Eva Pascoal^g reviewed initial drafts and provided insightful comments and additions. The Lao People's Democratic Republic case study was planned and led by Rebecca Dodd with contributions from Adelio Fernandes Antunes^d, Peter S. Hill^h and Dean Shuey^d.

The process and the draft paper was continuously shared and discussed with a group comprising Rifat Atunⁱ, Djona Avocksouma^e, Jim Buchan^j, Jim Campell, Rebecca Dodd, Norbert Dreesch, Rania Kawar^f, Chris Mwisika^e, Jennifer Nyoni, Paolo Piva, Elisabeth Sandor^k, Bill Savedoffⁱ, Gerard Schmets^f, Neil Squires^c, Phyllida Travis^f, Mark Wheeler and Anthony Zwi^m.

The draft paper was discussed in detail at the Informal Brainstorming and Planning Meeting on Aid Effectiveness and HRH, which aimed to explore ways to move forward in improving investment in HRH and its effectiveness with the participation of Jim Campell, Mario R. Dal Poz, Manuel Dayrit^a, Delanyo Dovlo^f, Norbert Dreesch, Paolo Piva, Elisabeth Sandor, Gerard Schmets, Phyllida Travis, Mark Wheeler and Pascal Zurn^a.

Thanks are extended to Jim Buchan, Jim Campell, Rebecca Dodd, Norbert Dreesch and Neil Squires for their extensive comments and inputs to the draft paper.

Funding support from the European Union is acknowledged for this work and for the publication of this paper as part of the project of 'Strengthening health workforce development and tackling the critical shortage of health workers' (SANTE/2008/153-644).

^a World Health Organization Department of Human Resources for Health, Geneva, Switzerland.

^b Consultant, World Health Organization Department of Human Resources for Health, Geneva, Switzerland.

^c Department for International Development, United Kingdom.

^d World Health Organization Regional Office for the Western Pacific, Manila, the Philippines.

^e World Health Organization Regional Office for Africa, Brazzaville, the Republic of the Congo.

^f World Health Organization Department of Health Policy, Development and Services, Geneva, Switzerland.

⁹ World Health Organization Country Office, Maputo, Mozambique.

^h School of Population Health, The University of Queensland, St. Lucia, Australia.

¹ Global Fund to Fight AIDS, Tuberculosis and Malaria, Geneva, Switzerland.

^J Queen Margaret University, Edinburgh, United Kingdom.

^k Organisation for Economic Co-operation and Development, Paris, France.

¹ Center for Global Development, Washington DC, USA.

^m School of Public Health and Community Medicine, the University of New South Wales, Sydney, Australia.

Executive summary

This paper Efficiency and effectiveness of aid flows towards health workforce development: exploratory study based on four case studies from Ethiopia, the Lao People's Democratic Republic, Liberia and Mozambique is organized into five main sections. After a brief introduction explaining the human resources for health (HRH) interest in aid effectiveness, the changing relationships between aid donors and recipients are reviewed and an outline given of the current aid effectiveness agenda. This is followed by a presentation of the findings from case studies in the four HRH crisis countries studied, which concludes that in those countries there is little evidence that HRH has benefited from the aid effectiveness agenda. A more nuanced conclusion is reached from an appraisal of the wider literature, which notes, in particular, advances in support for employment costs in selected countries. Nevertheless, a concluding section judges that the donor community has failed to respond to the poorest countries' needs for assistance in scaling up the health workforce, and suggests remedial measures to be taken by both parties.

Introduction

The Paris Declaration on Aid Effectiveness (2005) (1) provided a guide to more harmonized and aligned donor support and accountability, and a set of commitments made by its signatories. The World Health Organization (WHO) and, in particular, its Department of Human Resources for Health, was one of the agencies acutely interested in following up the commitments of the Paris Declaration. It was thought that one element of the evidence on health as a tracer sector could be an assessment of aid effectiveness in relation to human resources for health, with a view to assessing how donor behaviour affects countries' efforts to strengthen and scale up human resources, and whether the recent aid effectiveness agenda was responding appropriately to the specific needs of HRH.

The World Health Organization approached the topic along these lines by means of a series of country case studies (2).

The changing aid relationship

For four decades or more, the dominant mode of North-South aid delivery was the development project. By the 1990s, the project model was encountering a number of criticisms: lack of country ownership, which was often fatal to the sustainability of the project once it was handed over to national partners; the limited demonstration impact of isolated projects, which frequently appeared as an island of success in a sea of failure; dependence of the project design on unattainable levels of recurrent expenditure or technical capacity; the divergent policies of different donors confusing local actors, and overtaxing limited national implementation capacity; the profusion of project implementation units absorbing excessive resources; and the recruitment of local officials by donor agencies, which undermined government capacity. The solution offered was the Sector Investment Programme (SIP), a term which later gave way to the Sector-wide Approach (SWAp). The essential features of the SIP were that:

- the investment programme should be sector wide in scope, and should embrace all relevant capital and recurrent expenditure;
- there should be a coherent sector policy framework;
- local stakeholders (in practice, the government) should be in control of the project;
- all (major) donors should agree to participate;
- they should use common implementation arrangements; and
- there should be minimal long-term foreign technical assistance, but instead development of local management capacity.

A crucial step would be the transfer of responsibility for formulating the SIP from donors to the recipient government.

The vision of the aid relationship embodied in the Paris

预览已结束, 完整报告链接和二维码如下:

https://www.yunbaogao.cn/report/index/report?reportId=5 28843

