Ethical and safety recommendations for intervention research on violence against women

Building on lessons from the WHO publication

Putting women first: ethical and safety recommendations

for research on domestic violence against women



Recommended citation: Ethical and safety recommendations for intervention research on violence against women. Building on lessons from the WHO publication *Putting women first: ethical and safety recommendations for research on domestic violence against women*. Geneva: World Health Organization. February 2016.

WHO Library Cataloguing-in-Publication Data

Ethical and safety recommendations for intervention research on violence against women. Building on lessons from the WHO publication Putting women first: ethical and safety recommendations for research on domestic violence against women.

I. World Health Organization ISBN 978 92 4 151018 9 Subject headings are available from WHO institutional repository

© World Health Organization 2016

All rights reserved. Publications of the World Health Organization are available on the WHO web site (www.who.int) or can be purchased from WHO Press, World Health Organization, 20 Avenue Appia, 1211 Geneva 27, Switzerland (tel.: +41 22 791 3264; fax: +41 22 791 4857; e-mail: bookorders@who.int)

Requests for permission to reproduce or translate WHO publications whether for sale or for non-commercial distribution should be addressed to WHO Press through the WHO website (www.who.int/about/licensing/copyright_form/en/index.html).

The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of World Health Organization concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers' products does not imply that they are endorsed or recommended by World Health Organization in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by World Health Organization to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall either Research Triangle Institute or the World Health Organization be liable for damages arising from its use.

Ethical and safety recommendations for intervention research on violence against women

Building on lessons from the WHO publication

Putting women first: ethical and safety recommendations

for research on domestic violence against women





Acknowledgements

These recommendations were developed through a collaborative process that included several inperson discussions with experts, a review of the literature, and peer review of the recommendations. The process began with a discussion of ethical issues encountered by researchers during a 2012 WHO meeting of the International Network of VAW Researchers in Geneva. Following this meeting, project summaries shared by Network members were reviewed and a literature review was conducted. Using these inputs, the first draft of the recommendations was developed, circulated to Network members, and discussed in person with members of the Network, at the 2013 Sexual Violence Research Initiative (SVRI) Forum in Bangkok. Following these discussions, external experts also provided several rounds of peer review.

These guidelines were developed by Suneeta Krishnan and Miriam Hartmann, with the overall guidance and technical input of Claudia Garcia Moreno and Christina Pallitto of the Department of Reproductive Health and Research, WHO.

The following participants at the 2012 WHO International Network of VAW Researchers meeting provided initial guidance and shared experiences regarding ethical issues, which served as the foundation of these recommendations: Manuela Colombini, London School of Hygiene & Tropical Medicine; Padma Deosthali, Centre for Enquiry Into Health and Allied Themes; Claudia Díaz Olavarrieta, National Institute of Public Health, Mexico; Mary Ellsberg, Global Women's Institute, George Washington University; Peter Gichangi, International Centre for Reproductive Health, Kenya; Kelsey Lee Hegarty, University of Melbourne; Vathsala Fernando Illesinghe, University of Sri Jayewardenepura; Susan Jack, McMaster University; Rozina Karmaliani, Aga Khan University; Mirjam Lukasse, Oslo and Akershus University College of Applied Sciences, Norway; Judith McFarlane, Texas Woman's University; Poonam Rishal, The Britain-Nepal Medical Trust; Elizabeth Rowley, PATH; Heidi Stöckl, London School of Hygiene & Tropical Medicine; Agnes Tiwari, University of Hong Kong; Chi-Chi Undie, Population Council; and Nataly Woollett, Wits Reproductive Health and HIV Institute.

In-person input was provided by the following participants at SVRI 2013: Kathryn Falb, Yale University; Janet Fanslow, University of Auckland; Abigail Hatcher, Wits Reproductive Health and HIV Institute; Judith McFarlane, Texas Woman's University; Fathima Ishra Nazeera, General Hospital Kaluthara, Sri Lanka; Agnes Tiwari, University of Hong Kong; and Nataly Woollett, Wits Reproductive Health and HIV Institute.

We also thank the following individuals for their careful peer review and contributions: Jacquelyn Campbell, Johns Hopkins University; Kelsey Lee Hegarty, University of Melbourne; Susan Jack, McMaster University; Rozina Karmaliani, Aga Khan University; Ruth Macklin, Albert Einstein College of Medicine; Lori Michau, Raising Voices; Agnes Tiwari, University of Hong Kong; Anita Morris, University of Melbourne; Yandisa Sikweyiya, South African Medical Research Council; and Chi-Chi Undie, Population Council.

With the support of the Global Gender Center of RTI International, Miriam Hartmann and Suneeta Krishnan drafted the document and coordinated the consultative process. Support was provided by Anushah Hossain (research), Michelle Back (editorial), Shari Lambert (graphic design), and Anne Sweetmore, Green Ink Co (copyediting). Claudia Garcia Moreno of WHO provided overall coordination support throughout the process and technical review and editing of the final version.

Contents

37

References

4	7 Kinowicage inches
4	Acronyms and abbreviations
5	Introduction
5	The need for intervention research guidelines
6	Intention and content of these guidelines
7	Putting women first: recommendations
8	Intervention research recommendations: additional considerations
8	The safety of respondents and the research team is paramount and should guide all project
	decisions
13	Protecting confidentiality is essential to ensure both women's safety and data quality
15	All research team members should be carefully selected and receive specialized training and ongoing
	support
18	Fieldworkers should be trained to refer women requesting assistance to available local services and
	sources of support. Where few resources exist, it may be necessary for the study to create short-term
	support mechanisms
19	Researchers and donors have an ethical obligation to help ensure that their findings are properly
	interpreted and used to advance policy and intervention development
21	New recommendations for intervention research
22	Intervention studies need to be methodologically sound and build on the current evidence base of
	interventions and intervention research experience
23	Processes and criteria for participant recruitment should be carefully considered to avoid excluding
	women who may not initially disclose experience of violence
24	Participant randomization should be transparent and described in a way that can be easily
	understood by those involved in the research
24	The provision of services to comparison-arm participants should maintain a minimum standard of
	care
25	Measuring and monitoring harm related to the research should be incorporated into safety protocols
28	Conclusion
32	Annex: Sample language
36	Glossary of relevant terms

Acronyms and Abbreviations

ACASI Audio computer-assisted self-interviewing

CIOMS Council for International Organizations of Medical Sciences
CP MERG Child Protection Monitoring and Evaluation Reference Group

DSMB Data safety and monitoring board

IPV Intimate partner violence PI Principal investigator

SVRI Forum Sexual Violence Research Initiative Forum, Bangkok, 2013

VAW Violence against women

VCT Voluntary HIV counselling and testing

WHO World Health Organization

Introduction

The Need for Intervention Research Guidelines

The publication of the World Health Organization (WHO) recommendations *Putting women first: ethical and safety recommendations for research on domestic violence against women* provided researchers with a set of concrete actions and best practices for conducting survey research on violence against women (VAW) in a manner that was both ethical and safe (1). These recommendations have spawned additional publications highlighting ethical concerns in different aspects of research on VAW. Most recently, additional guidelines have been released focusing on general recommendations for conducting research on VAW (2), on primary prevention initiatives (3), on sexual violence in emergency settings (4), with perpetrators of sexual violence (5), and on violence against children (6). The recommendations and guidance have been useful for researchers and practitioners in the context of cross-sectional descriptive research.

As the evidence base on the magnitude, context and consequences of VAW has grown, research efforts and attention have begun to focus on decreasing the knowledge gap on effective responses through intervention research. Demonstrating this focus, in November 2012 the WHO Department of Reproductive Health and Research convened a group of experts to discuss health sector-based research to respond to violence against women. This global network of researchers, scientists and practitioners was brought together to enhance existing research efforts and to advocate for greater funding for research on interventions to address VAW and policies and programmes related to it.

With the increased interest in and attention of the global community of researchers, practitioners and policy-makers regarding rigorous intervention research for preventing and responding to VAW, a discussion of the ethical considerations specific to this type of research is warranted.

As highlighted by WHO over a decade ago and by many others since, the sensitive nature of research on VAW requires special ethical and safety considerations (1, 5, 7, 10). Although the broad considerations remain the same in intervention research, such as the need to protect the safety of the participant and the researcher, the implementation of intervention research also raises additional ethical and safety questions. For example, how can researchers safely approach selection, recruitment and follow-up of participants in a study to evaluate the outcomes and impacts of an intervention to prevent violence? How do researchers address randomization of participants into control or intervention arms? How do researchers monitor and manage risk of violence from participation in the intervention? And what additional protections should be put in place when the research involves populations requiring special considerations, such as pregnant women?

Intent and Content of These Guidelines

These recommendations have been developed to help answer questions specific to conducting research on health-based interventions to prevent and respond to VAW. Research on strategies that use health or health care as an entry point (regardless of the implementation setting, such as a clinic or community) is the focus. However, the discussion may be relevant to research on other kinds of VAW interventions.

The target audience for these guidelines includes stakeholders engaged in research on health-based interventions to address VAW. Such research may be conducted by multidisciplinary and cross-national or regional teams composed of researchers, programme implementers, evaluators, activists, advocates and care providers. Thus, in this document, the terms research team and researcher represent a range of stakeholders engaged in studying VAW interventions. These recommendations do not address ethical challenges and dilemmas that may arise in the context of collaborations to study VAW interventions. For example, issues related to respect and equity within research teams and across global North-South partnerships (11, 13) are not discussed, although we provide a few references on this and related topics where possible.

The focus of this document is on ethical and safety considerations for various stages and types of research on health-based interventions to address VAW, from design and development of interventions to evaluation of

Importantly, these recommendations are not designed to replace existing research ethics and safety guidelines nor are they designed to replace WHO's Putting women first: ethical and safety recommendations for research on domestic violence against women (1); rather, they act as a companion piece. Existing guidelines address a broad range of issues relevant to developing and testing VAW prevention interventions, including informed consent, privacy and confidentiality, and staff recruitment and training. This publication begins by highlighting additional considerations related to several recommendations in Putting women first, followed by a presentation of issues specific to research on health-based interventions to address VAW.

There are a few related issues that are not discussed in these guidelines. We do not address ethical and safety issues involved in working with children or adolescents in the context of VAW intervention research, and offer alternative resources on this issue. This document does not address additional protections that may be needed when working with individuals living with HIV infection. Also, it does not comprehensively consider issues that may arise in VAW interventions outside the health sector.

Finally, given the evidence suggesting that pregnancy may be an optimal time for intervention, we have included a section on ethical and safety considerations when working in the context of antenatal care to address the lack of guidance on conducting VAW research among this

预览已结束,完整报告链接和二维码如下:

https://www.yunbaogao.cn/report/index/report?reportId=5 26748

