

COURSE DESCRIPTION AND SCHEDULE

Version: 27/07/2015

Applied Workshop on Preparing Infrastructure Projects following the PPP Framework in Lao PDR

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1. Background

Lao PDR has developed a PPP framework currently being formally introduced in the country. The framework is established by a Prime Ministerial PPP Decree, and includes institutional responsibilities and procedures for the development and implementation of PPP projects.

In this context, ESCAP and MPI are conducting an applied workshop addressing how infrastructure projects can be prepared within the new framework. The objectives of the workshop are to increase awareness and understanding of the PPP framework, and to support participants on how practically projects are prepared and procured.

2. Workshop Structure

The workshop is conducted over two consecutive days. The workshop is structured in two main components, namely:

1. Overview and explanation of the PPP Framework in Lao PDR
2. Project Cases applied within the PPP Framework

2.1. PPP Framework in Lao PDR

During the initial sessions of the workshop the new PPP framework in Lao PDR is explained. The sessions focus on providing an overview of the definitional, institutional and procedural framework established principally by via the new Prime Ministerial PPP Decree.

These sessions are of an introductory and explanatory nature. They are designed to familiarize participants with the framework, to discuss key PPP concepts, and especially to explain the procedures for PPP identification, preparation, procurement and implementation.

The sessions comprise presentations from experts involved in development of the PPP framework, and facilitated discussion. These sessions set out the broader procedural framework as context for the applied case-based exercise.

2.2. Applied Case-Based PPP Exercise

The objective of the Applied Case-Based PPP Exercise is to support the preparation of PPP projects following the new PPP framework established in the Lao PDR. The rationale is that by conducting a practical, case-based exercise dealing with the main elements of PPP project structuring and procurement, the participants will increase their understanding of how to identify and implement PPP projects. The practical case allows participants to work through some of the main elements of PPP structuring and design an appropriate solicited procurement process.

Project Cases

The Applied Case-Based PPP Exercise is conducted following a group-work methodology. Workshop participants are divided into three groups, each focusing on a specific hypothetical project case. The cases are examples designed to suite conditions in Lao PDR, but are not related to existing projects. This allows for discussion on the project cases without becoming caught up in local dynamics. The three project cases are:

1. Regional Highway Expansion

The project involves the upgrading of a 45km regional road connecting the Capital city to a nearby major town. The project is to upgrade the existing road from a single to dual-lane, and to operate and maintain the highway for 20 years. The highway will be tolled, however toll revenues alone will not support commercial viability.

2. New Public Health Clinic

The project concerns the design, construction and maintenance of a new public health clinic providing secondary public health services in the capital city. There are questions regarding the scope of services to be provided by the private partner, as well as the mixed incomes of clients.

3. Solid Waste Management

The project concerns the collection, transport and disposal of solid waste for an area covering half of a major town. There are options to structure the service as a single integrated contract, or in separate contracts.

At the start of the exercise, groups are provided with a briefing on their project, and a short support document providing basic project information. Groups may add additional information to their cases based on their own experience.

Applied Exercise

The exercise focuses on conducting several of the main steps involved in preparing a PPP project for procurement, followed by the design of a procurement plan based on related provisions in the Lao PPP framework. The exercise steps are:

Session 1: Determining basic project characteristics

Session 2: Output specification of the project

Session 3a: Risk Game

Session 3b: Risk identification and proposed allocation

Session 4: Proposed project structuring

Session 5: Procurement process

Each session comprises:

- Conceptual / theoretical plenary introduction (the key concepts, issues and theories related to the topic of the session are introduced to the plenary)
- Group case work (each group works on practical application of the session topic to their project)
- Report back and discussion (each group provides a brief report back to the plenary, followed by facilitated discussion)

Groups are provided with templates to support group discussions during each session, and group work is facilitated by the trainers and other PPP experts involved.

During Session 5: Procurement plan, each group determines a plan for the solicited procurement of their project. Participants use the results of their work on previous sessions to prepare an abbreviated RfQ, as well as a small procurement plan covering the main procurement steps and timeframes to be followed.

Final Presentations

Each group makes a final presentation to the plenary, describing their project (i.e. the RfQ) and outlining their procurement plan.

3. Draft Course Program for 2 day Workshop

Time	Sessions
Day 1	
08:00 – 08:30	Participants Registration
08:30 – 09:00	Welcome and Introductions <ul style="list-style-type: none"> • Welcome by ESCAP and MPI • Introduction of Participants
09:00 – 10:00	PPP Framework in Lao PDR <p><i>Objective:</i> Introduction and explanation of the new PPP framework in Lao PDR</p> <p><i>Content:</i></p> <ul style="list-style-type: none"> • Current status • PPP Decree and Implementing Rules and Regulations • Definition of PPPs • Institutional responsibilities • PPP Procedures <p><i>Training Method:</i> Presentations and Facilitated Discussion</p>
10:00 – 10:00	Coffee Break
10:00 – 10:30	Introduction of the Case Based Exercise <p><i>Objective:</i> To introduce and explain the case-based exercise, to divide participants into appropriate project case groups, and to introduce the project cases</p> <p><i>Content:</i></p> <ul style="list-style-type: none"> • Introduction and explanation of the case-based exercise – where does it fit within the PPP framework procedures, exercise steps and method • Division of participants into three project case groups according to interest • Discussion of the three project cases, distribution of case materials and familiarization <p><i>Training Method:</i> Overview by facilitator, discussion</p>
10:30 – 12:00	Exercise Session 1: Project Characteristics and Selection Criteria <p><i>Objective:</i> To identify the main project characteristics relevant for preparing a PPP project</p> <p><i>Content:</i></p> <ul style="list-style-type: none"> • <u>Plenary</u> – Introduction to important project characteristics – eligibility, responsibility, service, assets/facilities, financial flows, estimation of

	<p>scale/complexity (brief presentation by facilitator and clarification discussion) – 15 min</p> <ul style="list-style-type: none"> • <u>Group Work</u> – each group works to identify key project characteristics related to their project case – 45 min • <u>Plenary Discussion</u> – each group reports back to plenary followed by discussion – 30 min <p><i>Training Method:</i> Applied group work and plenary discussion</p>
12:00 – 1:00	Lunch Break
1:00 – 14:30	<p>Exercise Session 2: Output Based Specification</p> <p><i>Objective:</i> To understand why and how to specify a project on an output basis</p> <p><i>Content:</i></p> <ul style="list-style-type: none"> • <u>Plenary</u> – Introduction to output specification (brief presentation by facilitator and clarification discussion) – 15 min • <u>Group Work</u> – each group works to specify their project case on an output basis. Groups identify why and how this can increase value, and what implications are for the kinds of firms required to take part in the subsequent procurement – 45 min • <u>Plenary Discussion</u> – each group reports back to plenary followed by discussion – 30 min <p><i>Training Method:</i> Applied group work and plenary discussion</p>
14:30-17:00	<p>Exercise Session 3a: “The Big Risk Game: 4Scene”</p> <p><i>Objective:</i> To highlight the role of risks in PPP projects, understand methods to mitigate risks and to understand the importance of parties working together in a transaction</p>

预览已结束，完整报告链接和二维码如下：

https://www.yunbaogao.cn/report/index/report?reportId=5_4168

