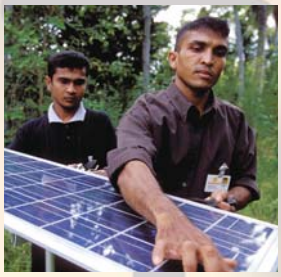
Handbook for Conducting

Technology Needs Assessment for Climate Change



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This TNA handbook was jointly developed by UNDP and UNFCCC Secretariat, under the auspices of the Expert Group on Technology Transfer (EGTT), in cooperation with the Climate Technology Initiative (CTI). It builds on the earlier edition published in 2004. This TNA handbook should be considered a living document and is subject to improvements based on field-testing, after which an updated edition will be made available.

Handbook for Conducting Technology Needs Assessment for Climate Change

Advance document
September 2009

This advance document of the updated Technology Needs Assessment Handbook (TNA) was first endorsed in June 2009 by the Expert Group on Technology Transfer. The current version, dated September 2009, includes several modifications in terms of editorial corrections while the main content remains exactly the same as the June edition.

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Foreword

In 2004, the Expert Group on Technology Transfer and the UNFCCC secretariat worked with the United Nations Development Programme to prepare the first Handbook for conducting technology needs assessments for climate change. The handbook supported the preparation of technology needs assessments by developing countries which have stimulated a wide range of technology transfer initiatives in developing countries.

To share best practices and lessons learned with conducting technology needs assessments and to identify specific needs and practical actions that could assist Parties in implementing the results of TNAs, a workshop on best practices with conducting TNAs was organized by the EGTT and UNFCCC secretariat in Bangkok, Thailand on 27-29 June 2007.

The workshop provided an opportunity for countries' experts to exchange views with representatives from the private sector in particular the financial community on possible ways to enhance access to funding for the implementation of the results of TNAs. In this context, regional training sessions on project development are being conducted for participants from developing countries utilizing a UNFCCC guidebook for preparing technology transfer projects for financing.

Lessons learnt from the workshop have been drawn upon in developing this updated Handbook on technology needs assessments for climate change. The updated Handbook provides a more detailed framework for the development and implementation of technology needs assessments and in particular in the development of technology programmes and strategies in developing countries. It also seeks to support capacity building and to help with the establishment of the enabling environments for technology transfer.

The publication of this handbook is the result of the dedicated efforts of all those involved in its production, the United Nations Development Programme and the Secretariat of the United Nations Framework Convention on Climate Change in collaboration with the Expert Group on Technology Transfer and numerous practitioners engaged in the development of technology transfer projects in developing countries.

Arthur Rolle
EGTT Chair
June 2009

Acknowledgement

This advance document of the updated Technology Needs Assessment Handbook (TNA Handbook) was jointly prepared by the United Nations Development Programme and the United Nations Framework Convention on Climate Change Secretariat, under the auspices of the Expert Group on Technology Transfer (EGTT) and in cooperation with the Climate Technology Initiative. This updated Handbook was developed as a response to the request from the United Nations Framework Convention on Climate Change Conference of Parties (COP) Decisions as reflected in 3/CP.13 and 2/CP.14.

The Handbook builds on and expands the scope of the first Handbook, entitled "Conducting Technology Needs Assessment for Climate Change" that was published in 2004 and prepared by United Nations Development Programme. The first Handbook was designed to provide practical guidance on how to conduct a technology needs assessment in developing countries.

This updated Handbook is the result of close collaboration with experts from the Joint Implementation Network, the University of Edinburgh-Centre for Environmental Change and Sustainability and the Stockholm Environment Institute (USA-Boston) each of which contributed substantially to the drafting of the handbook. Members of the Expert Group on Technology Transfer provided valuable comments and guidance throughout the development of this handbook.

The Handbook also went through a number of iterative processes and received substantive comments and contributions from experts from the Global Environment Facility Secretariat, United Nations Development Programme, United Nations Environment Programme, United Nations Environment Programme Risoe Centre on Energy, Climate and Sustainable Development, and the World Bank.

Acknowledgement is also due to experts from the National Renewable Energy Laboratory and University of San Martin – Centro de Ideas, who provided substantive comments throughout the development of this advance copy and also drafted some key sections of the Handbook.

Special acknowledgement is to due to the Sustainable Energy Programme of Environment and Energy Group of United Nations Development Programme and the Technology team within the United Nations Framework Convention on Climate Change Secretariat. These two teams spearheaded the conceptualization of the updated handbook and led the production process and coordinated a number of technical drafting meetings to develop this advance document.

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