

# **Financial Advisers (Exemption from Requirement to Hold Representative's Licence) Regulations**

## **Table of Contents**

### **1 Citation**

### **2 Exemption**

## **Legislative History**

### **FINANCIAL ADVISERS ACT (CHAPTER 110, SECTION 100(1))**

### **FINANCIAL ADVISERS (EXEMPTION FROM REQUIREMENT TO HOLD REPRESENTATIVE'S LICENCE) REGULATIONS**

**Rg 5**

**G.N. No. S 12/2004**

**REVISED EDITION 2004**

(29th February 2004)

[12th January 2004]

## **Citation**

**1.** These Regulations may be cited as the Financial Advisers (Exemption from Requirement to Hold Representative's Licence) Regulations.

## **Exemption**

**2.—(1)** Subject to paragraph (3), a person who has —

- (a)** commenced to act as a representative of a licensed financial adviser on the business day immediately following the day on which he ceased to act as a representative of an exempt financial adviser; and